



49/2000/00000000

GB00/537

The
Patent
Office

PCT/GB 00 / 0 0 5 3 7



18 FEBRUARY 2000
INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 22 MAR 2000

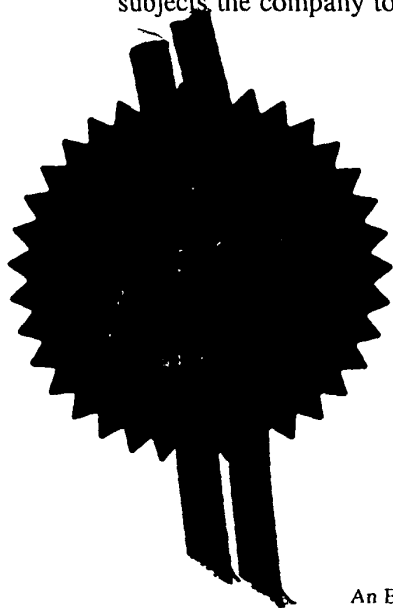
PPPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



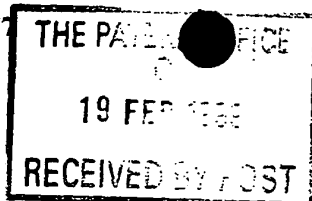
Signed *Andrew Gurney*

Dated 3 March 2000

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

An Executive Agency of the Department of Trade and Industry



**The
Patent
Office**

19FEB99 E426468-2 D02973
P01/7700 0.00 - 9903694.9

Request for grant of a patent

9903694.9

The Patent Office
Cardiff Road
Newport
Gwent NP9 1RH

1	Your reference	LPB/P32042
2	Patent application number	
3	Full name, address and postcode of the applicant	University of Leeds LEEDS LS2 9JT
	Patents ADP number	
	State of incorporation	UK 798322001
4	Title of the invention	Latency-associated Regulatory Region
5	Name of agent	HARRISON GODDARD FOOTE
	Address for service	Belmont House 20 Wood Lane LEEDS LS6 2AE
	Patents ADP number	14571001 ✓
6	Priority applications	Country Priority App No Date of Filing

7	Parent application (eg Divisional)	Earlier Application No	Date of Filing
---	---------------------------------------	------------------------	----------------

8	Statement of Inventorship Needed?	Yes
---	--------------------------------------	-----

9	Number of sheets for any of the following (not counting copies of same document)
---	---

Continuation sheets of this form

Description

22

Claims

Abstract

Drawings

8 + 8



10	Number of other documents attached
----	------------------------------------

Priority documents

Translations of priority documents

P7/77

P9/77

P10/77

Other documents

11	I/We request the grant of a patent on the basis of this application.
----	--

Signature

Lisa Brown

18 February 1999

12	Name and daytime telephone number of person to contact in the United Kingdom
----	---

Dr Lisa Brown
0113 225 8350

Latency-associated regulatory region

The present invention relates to a method of virus manipulation; means therefor and products thereof which have particular, but not exclusive, application in gene therapy/vaccine development.

Herpesvirus saimiri (HVS) is a lymphotropic *rhadinovirus* (γ -2 herpesvirus) which causes persistent infection in its natural host the squirrel monkey (*Saimiri sciureus*) without causing any obvious symptoms of disease. HVS has been subdivided into three groups (A, B and C) on the basis of the sequence of the open reading frame of H. saimiri transformation-associated protein (STP) (Fleckenstein & Desrosiers, 1982; Medveczky et al., 1984). The structure of the HVS genome consists of a unique, low G+C content DNA segment (L-DNA) approximately 110kb in length, flanked by multiple tandem repeats of high G+C content DNA (H-DNA) (Albrecht et al., 1992; Bankier et al., 1985). Analysis indicates it shares limited homology with other herpesviruses. Examples of such herpesviruses include Epstein Barr Virus (EBV), bovine herpesvirus 4 and murine gammaherpesvirus 68 (MHV68) (Blubot et al., 1992; 1996; Virgin et al., 1997). The genomes of EBV, BHV, MHV68 and HVS have been shown to be generally co-linear, in that homologous sequences are found in approximately equivalent locations and in the same relative orientation. However, conserved gene blocks are separated by unique genes with respect to each virus (Virgin et al., 1997). Genes which are expressed in HVS in the latent state are currently unknown.

HVS has a number of features which make it an attractive candidate for use as a gene delivery vector. These include the potential to package and deliver in excess of 50kb of heterologous DNA, the ability to infect non-dividing cells and the maintenance of the viral genome as a stable episome in a latently infected host cell. The ability of herpes viruses to adopt a latent state in an infected cell is a particularly attractive features in terms of their use as gene delivery vehicles. In addition, because HVS is a non-human pathogen, it should not elicit a primary immune response on introduction

into a human host. Primary immune response is a fundamental problem associated with human herpesvirus gene delivery systems which reduces the efficiency of the vector.

5 In our studies, we generated a recombinant HVS based on the non-transforming strain A11, which expresses the green fluorescent protein (GFP) gene (Whitehouse et al., 1998b). This virus contains the GFP gene under the control of the constitutive human cytomegalovirus (HCMV) early promoter inserted into the rightmost flanking region of H-DNA. We have demonstrated that this recombinant HVS-GFP was able
10 to infect a wide range of human cancer cell lines, including T-cell (Jurkat), pancreatic (MIAPACA), colorectal (SW480) and lung carcinoma cells (A549). Thus, we have continued investigation of this recombinant HVS as we believe it to be an ideal candidate as a gene delivery vector.

15 The use of an efficient promoter which can drive stable long term expression of a transgene is a prerequisite for the development of any gene delivery vector. A variety of promoters have been utilised in herpes simplex virus (HSV) vectors including neuronal-specific promoters such as the neurone-specific enolase promoter, the neurofilament promoter and tyrosine hydroxylase promoter, as well as viral
20 promoters such as the HSV thymidine kinase promoter and the HCMV immediate early promoter. Studies showed, however, that these promoters are unsuitable for long term expression *in vivo*, due to promoter silencing effects (Fink et al., 1996; Glorioso et al., 1992;1995). There is a need, therefore, to identify viral regulatory regions which can be used to drive stable long term expression of a transgene.

25 Recently, recombinant HSV-1 viruses have been produced in which expression of the *lacZ* and *lacZ-neo* cassettes are driven by the latency-associated-transcript (LAT) promoter (Lachmann & Efstathiou, 1997). Peripheral infection of neurones with these viruses results in stable long-term expression of a β -galactosidase transgene for
30 at least 190 days post-infection. Therefore, we believe that it would be advantageous to identify and characterise HVS regulatory regions associated with latency, if they

exist, to drive long term stable expression of heterologous transgenes for the future development of HVS as a gene delivery system. In the course of our investigations to identify viral regulatory regions which can be used to drive stable long term expression of a transgene, we serendipitously identified a cluster of HVS genes which are apparently expressed specifically in the latent state and we provide evidence to this effect. The DNA sequence which unexpectedly drives expression of this series of transcripts has been identified. This sequence provides the advantages as a promoter to drive therapeutic gene expression discussed above.

10 In this application, we describe the identification of a cluster of genes encoding ORF71-73 which are latently expressed in an A549 cell line stably transduced by HVS-GFP. We have characterised a region immediately upstream of the coding sequence of ORF73 and demonstrated that this regulatory region, when transfected into a human 293T cell line, is able to drive active expression of the GFP reporter gene. This result demonstrates that the upstream region of ORF73 contains regulatory sequences which may be utilized to drive expression of heterologous transgenes in a range of human cell lines. Therefore we believe that the ORF73 promoter, which drives virus-encoded gene expression whilst the HSV is present in a cell in a latent state, is an ideal choice of regulatory sequence for driving stable long term expression of a transgene in HVS-based gene delivery vectors.

Furthermore, in order to investigate the possibility of using the ORF73 regulatory region as a promoter to drive long term expression of a heterologous transgene, a number of PCR fragments containing sequence immediately upstream of the ORF73 initiation codon were amplified by PCR and cloned into a reporter plasmid containing the GFP gene. These reporter constructs were transfected into the human 293T cell line and we have demonstrated that some of these fragments contain a regulatory region sufficient to drive heterologous gene expression in a human 293T cell line.

30

We believe that *Herpesvirus saimiri* (HVS) is an attractive candidate for use as a gene therapy vector as it has the ability to enter a latent mode of infection in which the viral genome is maintained as a stable episome in the host cell. We have generated a recombinant HVS in which the gene encoding green fluorescent protein (GFP) is expressed under the control of the constitutive human cytomegalovirus (CMV) promoter (HVS-GFP). This recombinant virus is able to stably transduce a range of human cell lines including the lung carcinoma cell line, A549, and direct production of GFP. However, it is known that the human CMV promoter is not effective in many circumstances for sustaining transgene expression in gene therapy *in vivo*. We have therefore sought to identify promoters which might be functional during latent infection with the HVS vectors.

It is therefore an object of the present invention to provide a gene delivery system/vaccine.

15

It is a further object of embodiments of the invention to provide a promoter which functions in a vector gene delivery system/vaccine during periods when the gene therapy vector is present in the cell in a latent state.

20 It is a further object of embodiments of the present invention to provide regulation of long term gene expression in a gene delivery system/vaccine.

It is a yet further object of embodiments of the invention to control the expression of transgenes in a range of human or animal cells.

25

According to a first aspect of the invention there is provided a nucleic acid sequence which encodes a promoter for use in gene therapy and which comprises the nucleic acid sequence as shown in Figure 1, or a fragment or variant thereof or a nucleic acid sequence which hybridises or degenerates to the sequence of Figure 1 or any part thereof.

30

Preferably, the nucleic acid sequence as shown in Figure 1 hybridises to a nucleic acid under high stringency conditions.

5 Preferably, hybridisation occurs under stringent conditions such as 1 x SSC, 0.1% SDS at 65°C.

Preferably, said promoter comprises a nucleic acid sequence of up to 2000 bp, more preferably said promoter comprises a nucleic acid sequence of up to a length of 630, 1000 or 1500 bp or any other selected fragment thereof.

10

Thus it will be appreciated that the invention includes nucleic acids comprising (i) a sequence of up to 2000bp which encodes the promoter, (ii) fragments of selected bp lengths within the sequence and (iii) variants thereof, as well as recombinant DNA molecules containing insert(s) of the promoter sequence therein.

15

According to a second aspect of the invention there is provided a gene therapy system comprising a vector which includes a nucleic acid sequence selected from the group consisting of the nucleic acid sequence as shown in Figure 1, and fragments and variants thereof as well as nucleic acid sequences which hybridise or degenerate to the sequence of Figure 1 or a part thereof, wherein said system is capable of driving heterologous gene expression during periods of latent infection by the vector of a target cell population.

20

Preferably, the nucleic acid sequence as shown in Figure 1 hybridises to a nucleic acid under high stringency conditions.

25

Preferably, hybridisation occurs under stringent conditions such as 1 x SSC, 0.1% SDS at 65°C.

30 Preferably, said nucleic acid sequence encodes for a promoter.

Preferably, said nucleic acid sequence comprises a sequence of up to 2000 bp, more preferably said sequence is of up to a length 630, 1000 or 1500 bp or any other selected fragment.

- 5 Preferably said nucleic acid sequence is that shown in Fig 1, and more preferably still said sequence comprises fragments therein of selected bp length.

Preferably, said vector additionally comprises at least one therapeutic agent or gene or fragment or variant thereof, whereby sequence acts as a promoter to drive
10 expression of said at least one therapeutic agent or gene or fragment or variant thereof.

The vector of said gene delivery system may be viral or non-viral.

- 15 Preferably, said gene therapy system is capable of long term gene expression.

Reference herein to long term gene expression includes gene expression for at least several hours and optimally at least several months, for example and without limitation, from 2 hours to six months or more.

20

It will be appreciated by those skilled in the art that the invention comprises a gene therapy system and that, in preferred embodiments the vector may be either viral or non-viral. The expression of a therapeutic gene can be regulated by a promoter, typically of up to 2000 bp, and the system is capable of driving heterologous gene
25 expression during periods of latent infection of a target cell population. Thus, foreign transgenes can be controlled by, for example, a natural promoter, which is active in the latent mode of viral infection. The specifics of the gene expression and the nature of the vector is not intended to limit the scope of the application.

- 30 According to a third aspect of the invention there is provided an HVS comprising a nucleic acid sequence encoding a promoter, as shown in Figure 1, or fragment or

variant thereof or a nucleic acid sequence which hybridises or degenerates to the sequence of Figure 1 or any part thereof, which promoter acts in the latent state, the sequence encoding for the promoter being positioned so as to drive expression of at least one therapeutic agent or gene or fragment or variant thereof which has been
5 inserted in the HVS, whereby said HSV is a gene therapy vector.

The preferred embodiments of the third aspect of the invention include those listed in accordance with the aforementioned first and second aspects of the invention.

10 According to a fourth aspect of the invention there is provided a method of manufacturing the promoter of the first aspect of the invention or the gene therapy system of the second aspect of the invention or the HVS vector of the third aspect of the invention, the method comprising transfecting a cell with a nucleic acid sequence encoding said promoter, as shown in Figure 1, or fragment or variant thereof or a
15 nucleic acid sequence which hybridises or degenerates to the sequence of Figure 1 or any part thereof. The invention includes methods which comprise selecting the promoter and amplifying it and subsequently purifying it prior to transfecting a cell population, suitably a selected cell population.

20 According to a fifth aspect of the invention there is provided a method of treatment comprising administering a therapeutically effective amount of the gene therapy system of the second aspect of the invention or the HVS gene therapy vector of the third aspect of the invention, to an individual requiring treatment.

25 According to a sixth aspect there is provided a pharmaceutical composition comprising the promoter of the first aspect of the invention or the gene therapy system of the second aspect of the invention or the HVS vector of the third aspect of the invention.

Preferably, said pharmaceutical composition comprises a suitable carrier and ideally said composition can be formulated as a nasal spray, or for injection or for oral/paraenteral administration into a individual requiring treatment.

5 The invention will now be described with reference to the following Figures wherein;

Figure 1 illustrates the sequence of the 2000bp promoter,

Figure. 2 illustrates expression of GFP in A549 cells,

10

Figure. 3 illustrates a schematic representation of the map positions of restriction fragments of the HVS genome,

Figure 4 illustrates A549 cells hybridization with the labelled (a) *Eco* D fragment and

15 (b) with the labelled *Eco* J fragment,

Figure 5 illustrates A549 cells hybridization with (a) the labelled *Eco* C fragment and (b) with the labelled *KpnE* fragment,

20 Figure 6 illustrates A549 cells hybridization with a) ORF71 b) ORF72 c) ORF73,

Fig.7 illustrates expression of GFP in human 293T cell lines, and

Table 1 represents results obtained by Northern blot analysis.

25

Brief Description of the Figures

Fig.1. Sequence of the 2000bp promoter.

30 Fig. 2. Expression of GFP in A549 cells stably transduced with a recombinant HVS

Fig. 3 A schematic representation of the map positions of restriction fragments resulting from digestion of the HVS genome with either *EcoRI* or *Kpn I*. The fragments represent the entire L-DNA region (112kb) of *H. saimiri*.

5 Fig. 4 Hybridization with the labelled *Eco D* fragment (a) and the labelled *Eco J* fragment (b). Each lane was loaded with 6µg of total RNA and analyzed by Northern blotting and hybridization with the labelled probe. Lane 1 contains extract from uninfected A549 cells; lane 2 - A549 cells stably transduced with the recombinant HVS-GFP virus and cultured in the presence of G418 (0.6mg/ml); lane 3 - A549 cells
10 stably transduced with the recombinant HVS-GFP virus and cultured in the absence of G418; lane 4 - uninfected OMK cells; lane 5 - RNA extracted from OMK cells 8 hours after infection with the recombinant HVS-GFP virus; lane 6 - RNA extracted from OMK cells 16 hours after infection with the recombinant HVS-GFP virus; lane 7 - RNA extracted from OMK cells 24 hours after infection with the recombinant
15 HVS-GFP virus; lane 8 - RNA extracted from OMK cells 48 hours after infection with the recombinant HVS-GFP virus. Hybridization with an *Actin* probe as a control for amounts of DNA loaded, is shown below.

Fig. 5 Hybridization with the labelled *Eco C* fragment (a) and *Kpn E* fragment (b).
20 Each lane was loaded with 6µg of total RNA and analyzed by Northern blotting and hybridization with the labelled probe. Lane 1 contains extract from uninfected A549 cells; lane 2 - A549 cells stably transduced with the recombinant HVS-GFP virus and cultured in the presence of G418 (0.6mg/ml); lane 3 - A549 cells stably transduced with the recombinant HVS-GFP virus and cultured in the absence of G418; lane 4 -
25 uninfected OMK cells; lane 5 - RNA extracted from OMK cells 8 hours after infection with the recombinant HVS-GFP virus; lane 6 - RNA extracted from OMK cells 16 hours after infection with the recombinant HVS-GFP virus; lane 7 - RNA extracted from OMK cells 24 hours after infection with the recombinant HVS-GFP virus; lane 8 - RNA extracted from OMK cells 48 hours after infection with the
30 recombinant HVS-GFP virus. Again, hybridisation with an *Actin* probe was used as the control for DNA loading, as shown below.

Fig.6 Hybridization with a) ORF71 b) ORF72 c) ORF73. Each lane was loaded with 6µg of total RNA and analyzed by Northern blotting and hybridization with the labelled probe. Lane 1 contains extract from uninfected A549 cells; lane 2 - A549 cells stably transduced with the recombinant HVS-GFP virus and cultured in the presence of G418 (0.6mg/ml); lane 3 - A549 cells stably transduced with the recombinant HVS-GFP virus and cultured in the absence of G418; lane 4 - uninfected OMK cells; lane 5 - RNA extracted from OMK cells 8 hours after infection with the recombinant HVS-GFP virus; lane 6 - RNA extracted from OMK cells 16 hours after infection with the recombinant HVS-GFP virus; lane 7 - RNA extracted from OMK cells 24 hours after infection with the recombinant HVS-GFP virus; lane 8 - RNA extracted from OMK cells 48 hours after infection with the recombinant HVS-GFP virus. *Actin* probe controls are again shown below.

Fig.7 Expression of GFP in human 293T cell lines. Cells were grown to approximately 70% confluence and transfected with 2µg of the reporter plasmids p73.1-4-GFP using Lipofectamine according to the protocol described by the manufacturer, Life Technologies.

Materials and Methods

20

Viruses, cell cultures and transfections

Recombinant HVS (Strain A11) was propagated in Owl Monkey Kidney (OMK) cells which were maintained in Dulbecco's modified Eagle medium (Life Technologies) supplemented with 10% foetal calf serum. Human lung carcinoma A549 and 293T cells were maintained in Dulbecco's modified Eagle medium (Life Technologies) supplemented with 10% foetal calf serum. Jurkat cells were maintained in RPMI (Life Technologies) supplemented with 5% foetal calf serum. Human SW480 colorectal cancer cells were maintained in RPMI medium supplemented with 10% foetal calf serum. Human HT29 colorectal cancer cells were maintained in Dulbecco's modified Eagle medium supplemented with 10% foetal calf serum.

For transfection, cells were seeded at approximately 5×10^5 cells per 35 mm diameter Petri dish 24 h prior to transfection. Plasmids used in the transfections were prepared using the Qiagen Plasmid kits according to the manufacturer's directions. Transfections were performed using Lipofectamine™ (Gibco BRL) as described by
5 the manufacturer using 2 µg of the appropriate plasmid.

Total RNA Extraction

Cells were lysed using Trizol reagent (Life Technologies). Chloroform (0.2ml) was
10 then added and the solution vortex-mixed for 15s and stored at room temperature for 5 min. Samples were centrifuged for 15 min at 4°C, and the aqueous phase containing nucleic acids was precipitated using 0.5ml of isopropanol. The pellet was washed with 70% ethanol, resuspended in 20µl DEPC-treated water (0.1% solution) and stored at -70°C.

15

Northern Blot Analysis

Northern blot analysis was performed essentially as described by Sambrook et al. (1989). Total RNA was isolated from HVS-transduced A549 cells or from lytically
20 infected OMK cells at 8, 16, 24 and 48 hours post infection, and separated by electrophoresis on 1% denaturing formaldehyde agarose gels. The RNA was transferred to Hybond-N membranes and hybridised with radiolabelled ^{32}P -labelled random primed probes made from restriction fragments derived from the HVS genome. Hybridisations were performed for 12 hours at 65°C using ExpressHyb™
25 buffer (Clontech).

HVS Genomic Probes

The HVS genome can be cleaved with *EcoRI* and the resultant fragments cloned into
30 the plasmid vector pACYC184 or *Kpn I* fragments can be cloned into the vectors pJC81 or pWD7 (Knust et al., 1983). These genomic fragments were excised from

the vectors by digestion with either *EcoRI* or *Kpn I*. The ORF71 gene was amplified by PCR using the primer pair; ORF71F dCGC GGA TCC GGC AAG GTC ACT TCG CCC TAT CTG-3', ORF71R 5'dCCG GAA TTC CTG TGT TAC ACA TAA CAG ACT-3'. The ORF72 gene was amplified using the primer pair; ORF72F 5'dCGC GGA TCC GCT GCA ATG GCA GAT TCA CC-3'; ORF72R 5'dCCG GAA TTC GGT CTG CAG TTA GTG TTG TCA G-3'. The ORF73 gene was amplified using the primer pair ORF73F 5'dACG CGT CGA CCC ATC TAT AAT TGC AAC AAA CAC C-3'; ORF73R 5'd-CCC AAG CTT CAC ATA TAT GAA TGC TAG TGC AC-3'. The PCR (1 cycle of 5 min at 95°C; 30 cycles of 1min at 95°C, 1 min at 55°C, 1 min at 72°C; 1 cycle of 10 min at 72°C) was performed using 2U of Klentaq DNA Polymerase (Clontech). Probes were radio-labelled using the Megaprime kit according to the method described by the manufacturer (Amersham).

Plasmids

In order to make the reporter constructs p73.1-4GFP, 632, 1000, 1500 and 2000 bp sequences immediately upstream of the ORF73 initiation codon (Figure 1) were amplified by PCR (1 cycle for 5 min at 95°C; 35 cycles 1 min at 95°C, 1 min at 55°C, 1 min at 72°C; one cycle for 10 min at 72°C). For p73.1GFP, the primer pair 5'dACG CGT CGA CCC ATC TAT AAT TGC AAC AAA CAC G-3'; 5'dCCC AAG CCT CAC ATA TAT GAA TGC TAG TGC AC-3' were utilised. These primers incorporated terminal *HindIII* and *Sal I* restriction sites respectively, for convenient cloning of the PCR product. In order to amplify p73.2-4 the forward primers 5'dGCA CTG CAG CAC CAT CAC ATG AGG AGG TGC-3', 5'dGCA CTG CAG CCA TGC AGC AGC CAT GCG CTG CC-3' and 5'd-GCA CTG CAG CCC AGA GAG CTG GAC ACT AG-3' and the same reverse primer 5'dCGC GGA TCC CCA TCT ATA ATT GCA ACA AAC ACG-3' were used. These primers contained the restriction sites *PstI* and *BamHI*, respectively for convenient cloning of the PCR products. Upon digestion with the appropriate restriction enzymes, the PCR products were cloned into the reporter plasmid pEGFP (Clontech) to derive the expression constructs p73.1-4-GFP, respectively.

Results

Production of stably transduced A549 cell lines with HVS-GFP.

5 In order to identify any HVS latency-associated transcripts which might exist, A549s
cells were infected with HVS-GFP and cultured in the presence of G-418. After 48
hours approximately 75% of the cells were found to express the transgene and this
increased to 100% by day 12. Fluorescence microscopy confirmed that the GFP
protein was expressed in these cells (Fig.2.1) and Southern blot analysis on viral
10 DNA confirmed that the viral genome was maintained episomally. This cell line
formed the basis of the HVS-latency model as the cells remained green, expressing
the transgene for 6 months, demonstrating that HVS was stably maintained in a latent
episome form (Fig 2.2).

15 Transcription mapping of the HVS genome in latently infected A549 cells

In order to identify which genes were expressed in the latent episomal state of HVS,
Northern blot analysis was performed. Total RNA was extracted from an A549 cell
line which had been stably transduced with a recombinant HVS. As controls, total
20 RNA was extracted from a lytic infection of OMK cells at 8, 16, 24 and 48 h.p.i. and
from uninfected A549 cells. Northern blots were hybridized with restriction
fragments of genomic HVS DNA which spanned the complete coding region of the
HVS genome between the two flanking regions of H-DNA. The location of these
restriction fragments on the HVS genome is shown in Fig.3.

25

Rather than present each Northern blot individually we have chosen to summarize
the results of the analysis in Table 1. Only a semi-quantitative estimate of signal
intensity has been made, since this depends upon a number of factors including probe
length, exposure time, transcript length and the specific activity of the probe. Also,
30 since the aim of this work was simply to identify which genes are transcribed in a
latent HVS infection we did not consider it necessary to accurately quantify the

intensity of each signal but rather to make a qualitative assessment by comparing signal intensity in A549 cells with that in lytically infected cells.

High levels of gene expression were observed in control lytic infections when
5 hybridized with fragments *Eco* D and *Eco* J. The *Eco* D fragment contains the
ORF50 gene, the product of which is a strong transcriptional activator responsible for
initiating expression of delayed early (DE) and late viral genes in the lytic cascade.
Similarly, the *Eco* J fragment contains the ORF57 gene, which is activated by
ORF50 and also activates expression of DE and late viral genes (Whitehouse et
10 al;1997b;1998a;b). In comparison with the levels of gene expression observed in the
lytically infected OMK cells, negligible levels of gene expression were detected in
the stably transduced A549 cells when probed with *Eco* D or *Eco* J. Some low level
of lytic gene expression may be due to a very low background of lytic replication
occurring in a sub-population of the A549 cells infected with the recombinant HVS.
15 High levels of gene expression were also observed in the lytically infected OMK
cells when probed with genomic fragments containing viral DE or late genes but
again, negligible gene expression was detected in the stably transduced A549 cells
when probed with the same fragments. Fig. 4 shows Northern blots using probes
made from the *Eco* D and *Eco* J fragments. However incubation of Northern blots
20 with probes specific for either the *Eco* C or the *Kpn* E fragment detected comparable
levels of a transcript approximately 6kb in length in transduced A549 cells as well as
lytically infected OMK cells (Fig. 5). Both the *Eco* C and *Kpn* E genomic fragments
unexpectedly share a region of overlap which contains ORFs 71-73.

25 **ORFs71-73 are expressed in A549 cells stably transduced with recombinant HVS**

In order to further investigate the pattern of gene expression observed in the stably
transduced A549 cells, Northern blot analysis was performed using specific probes
30 for ORF 71-73. The results are shown in Fig. 6. Hybridization with each of the three
probes detected two transcripts of approximately 6kb and 4.4kb in both stably

transduced A549 cells and lytically infected OMK cells. The expression levels of these transcripts in the stably transduced A549 cells are comparable with levels in the lytically infected cells and are very significantly higher than the very low levels of expression detected in these cells when hybridizing with probes containing other
5 genes expressed in the lytic mode of infection, strongly suggesting that unexpectedly these transcripts are expressed in the latent episomal state.

**The region immediately upstream of ORF73 contains a promoter which is active in the latent state and which is able to drive expression of a transgene in 293T
10 cells.**

The development of HVS as an effective gene delivery vector requires the use of promoters which can drive stable long term expression of heterologous transgenes. Current promoters such as the constitutive HCMV promoter, which have been used
15 to drive expression of GFP in our recombinant HVS, are susceptible to silencing effects which are poorly understood. A viral promoter driving expression of genes active in the latent state would be an ideal candidate for use in regulating long term expression of a foreign transgene. Having identified the active expression of ORF71-73 in cells containing episomally maintained HVS in the latent state, we investigated
20 whether the regulatory region upstream of ORF73 could be utilized to drive expression of a transgene.

A number of PCR fragments encompassing 630, 1000, 1500 and 2000 bp of sequence immediately upstream of the initiation codon of ORF 73 were amplified by
25 PCR. The primers used in the PCR were designed so that the final products contained *Hind*III and *Sal* I restriction sites at their 5' and 3' termini, respectively. Each PCR product was purified and cloned into the polylinker site of the plasmid, pEGFP to generate the reporter plasmids, p73.1-4GFP, respectively. The reporter plasmids were each transfected into the human 293T cell line and GFP expression analysed by
30 fluorescence microscopy 48 hours post transfection. Results are shown in Figure 7.

All four fragments containing the upstream sequence of ORF 73 are sufficient to drive heterologous gene expression in human 293T cells.

5 The ability of *Herpesvirus saimiri* to enter a latent mode of infection in a human cell in which the viral genome is maintained as a stable episome makes this virus an attractive candidate for use as a gene delivery vector. Previously we have described a recombinant HVS containing an expression cassette in which the GFP gene is under the control of the constitutive HCMV promoter. We have shown that this virus is able to stably transduce a range of human cancer cell lines, including the lung carcinoma line, A549. In this cell line the viral genome is maintained as a stable episome and the GFP gene product is produced, demonstrating that HVS can be used as a vector to deliver foreign genes into tumour cells.

15 Despite some expression of GFP, however, the HCMV promoter is not an ideal choice for driving long term stable expression of a heterologous transgene because it is susceptible to poorly understood silencing effects which reduce the activity of the promoter.

20 A viral promoter which is active in the latent, non-replicative mode of HVS infection would be the ideal choice for driving stable long term gene expression in an HVS-based gene therapy vector. Identification of genes transcribed in a latent HVS infection would help to identify candidate promoters suitable for use in driving transgene expression in an HVS-based gene delivery vector. We therefore extracted DNA from A549 cells stably transduced with the recombinant HVS-GFP virus and probed with a series of fragments which span the entire coding region of the HVS genome. Hybridization with fragments containing genes encoding immediate early transactivators of the lytic transcriptional cascade detected high levels of gene expression in a lytic infection of OMK cells with the HVS-GFP virus. Similarly, hybridization with fragments containing late genes encoding structural components also detected high levels of gene expression in a lytic infection of OMK cells. In comparison to the lytically infected OMK cells negligible levels of lytic gene

transcription were detected in the stably transduced A549 cells. This may be explained by considering the A549 cells as consisting of two populations of cells, one large sub-population in which the HVS-GFP virus enters a truly latent mode of infection, and a much smaller sub-population of cells in which the HVS-GFP virus may enter a lytic mode of infection. Virus recovery assays from this A549 cell line show that a very low level of viral replication does occur.

Hybridization with two specific fragments, *Eco* C and *Kpn* E, detected high levels of gene expression in the stably transduced A549 cells comparable with those in infected OMK cells, a permissive cell line. Such a high level of gene expression could not be due to the very low level of lytic replication in A549 cells, since expression of other lytic cycle genes was so low as to be barely detectable in this cell line. A more likely explanation is that the fragments *Eco* C and *Kpn* E hybridize to mRNA from genes which are expressed when HVS is in a latent, non-replicative mode of infection as in the majority of the A549 cells.

Analysis of the *Eco* C and *Kpn* E fragments revealed that they both contained ORF71-73. Unexpectedly, hybridization of Northern blots with PCR products of ORF71, 72 and 73 detected high levels of two transcripts in both our stable A549 cell line and lytically infected OMK. In each experiment, hybridization with ORF71-73 detected the same transcripts, 6kb and 4.4kb in length, suggesting that these genes are transcribed as a polycistronic mRNA from the ORF73 promoter, since this gene lies at the rightmost end of the cluster.

From these studies we conclude that a region including ORF71-73 is expressed in both the lytic and latent modes of HVS infection. Furthermore we believe the regulatory region which expresses the latent transcript is an ideal choice for driving stable long term expression of a transgene. We therefore investigated whether the ORF73 promoter was active in a range of human cell lines to demonstrate whether it could be used to drive a heterologous transgene. A commonly encountered problem with currently used strong promoters such as the HCMV promoter is that their

activity is reduced by a silencing effect in a number of cell lines. We speculated that the regulatory region of a latently expressed HVS gene should not be susceptible to this effect and would be an ideal choice for driving expression of foreign genes.

- 5 Similar problems with silencing have been encountered in Herpes simplex virus (HSV) based vectors. In a latent infection of neurons by HSV, the virus genome is maintained in a nonlinear, episomal, nucleosome bound state and transcription is restricted to a single region encoding two highly abundant, polyadenylated latency associated transcripts (LATs) (Fraser et al., 1992; Stevens et al, 1987). The TATA
10 box and basal transcriptional elements which constitute the LAT promoter reside within an approximately 700bp region upstream of the 2kb major LAT (Dobson et al., 1995). This core LAT promoter is not sufficient to drive prolonged reporter gene expression during latency however, as regulatory elements found within the first transcribed 1.5kb LAT sequences have also been found to be necessary for full
15 promoter activity (Lokensgard et al., 1994; Perng et al, 1996). The majority of heterologous promoters used in HSV-based vectors have all resulted in either transient or low-level, long-term gene expression in only a small proportion of transduced cells (Bloom et al.,1995; Ecob-Prince et al., 1995; Lachmann et al., 1996). Recently, however, the upstream and downstream elements of the LAT
20 promoter have been used to drive expression of *lacZ* and *lacZ-neo* reporter genes in a recombinant HSV-1. After peripheral infection this recombinant HSV was capable of driving stable, long-term expression of β -galactosidase in the peripheral nervous system of mice for at least 190 days postinfection (Lachmann et al., 1997).
- 25 In order to determine whether regulatory sequences governing the expression of ORF73 could be used similarly to drive foreign gene expression we constructed reporter plasmids, p73.1-4GFP, in which the GFP gene was placed under the control of the various PCR fragments encoding sequences immediately upstream of the ORF 73 gene. Transfection of the reporter constructs into 293T cells showed that GFP was
30 expressed at high levels, indicating that the minimal functional ORF73 promoter was

contained within the 632bp upstream of ORF73 and that this promoter could drive expression of a heterologous transgene in a human cell line.

In conclusion, we have found three genes, ORF71, 72, and 73 which are expressed by
5 HVS when maintained as a stable, non-replicating episome in human A549 lung
cancer cells. We have also shown that the upstream regulatory sequences of the
ORF73 coding region, are sufficient to drive expression of a foreign transgene in a
human 293T cell line. We believe that this is of crucial importance to the
development of HVS as an effective gene therapy vector since foreign transgenes can
10 now be placed under the control of a natural HVS promoter which is active in the
latent mode of viral infection.

References

- Albrecht J-C, Nicholas J, Biller D, Cameron KR, Biesinger B, Newman C, Wittmann S, Craxton MA, Coleman H, Fleckenstein B, Honess RW. Primary structure of the
5 Herpesvirus saimiri genome. *J Virol* 1992; **66**: 5047-5058.
- Bankier AT, Dietrich W, Baer R, Barrell BG, Colbere-Garapin F, Fleckenstein B, Bodemer W. Terminal repetitive sequences in herpesvirus saimiri virion DNA. *J Virol* 1985; **55**: 133-139.
- 10 Bloom DC, Maidment NT, Tan A, Dissette VB, Feldman LT, Stevens JG. Long-term expression of a reporter gene from latent herpes simplex virus in the rat hippocampus. *Brain Res Mol Brain Res* 1995; **31**: 48-60.
- 15 Blubot M, Manet E, Lequarre AS, Albrecht J-C, Nicholas J, Fleckstein B, Pastoret PP, Thiry E. Genetic relationships between bovine herpesvirus 4 and gamma-herpesvirus Epstein-Barr and herpesvirus saimiri. *Virology* 1992; **190**: 654-665.
- Dobson AT, Margolis TP, Gomes WA, Feldman LT. In vivo deletion analyses of the
20 herpes simplex virus type 1 latency-associated transcript promoter. *J Virol* 1995; **69**: 2264-2270.
- Ecob-Prince MS, Hassan K, Denheen MT, Preston CM. Expression of beta-galactosidase in neurons of dorsal root ganglia which are latently infected with
25 herpes simplex virus type 1. *J Gen Virol* 1995; **76**: 1527-1532.
- Fink DJ, DeLuca N, Goins W, Glorioso J. Gene transfer to neurons using herpes simplex virus-based vectors. *Ann Rev Neurosci* 1996; **19**: 265-287.
- 30 Fleckenstein B, Desrosiers RC. Herpesvirus saimiri and herpesvirus ateles, p253-332. In B. Roizman (ed.), The herpesviruses, vol. 1. Plenum Press. New York, 1982.

- Fraser NW, Block TM, Spivack, JG. The latency-associated transcripts of herpes simplex virus: RNA in search of a function. *Virology* 1992; **191**: 1-8.
- Glorioso JC, DeLuca NA, Fink DJ. Development and application of herpes simplex virus vectors for human gene therapy. *Ann Rev Micro* 1995; **49**: 675-710.
- Glorioso JC, Goins WF, Fink DJ. Herpes simplex virus based vectors. *Seminars in Virology* 1992;**3**:265-276.
- 10 Knust E, Schirm S, Dietrich W, Bodemer W, Kolb E, Fleckenstein B. Cloning of *Herpesvirus saimiri* DNA fragments representing the entire L-region of the genome. *Gene* 1983; **25**: 281-289.
- Lachmann RH, Brown C, Efstathiou, S. A murine RNA polymerase I promoter inserted into the herpes simplex virus type 1 genome is functional during lytic, but not latent, infection. *J Gen Virol* 1996; **77**: 2575-2582.
- 15 Lachmann RH, Efstathiou S. Utilization of the Herpes Simplex Virus Type 1 latency-associated regulatory region to drive stable reporter gene expression in the nervous system. *J Virol* 1997; **71**: 3197-3207.
- 20 Lokensgard JR, Bloom, DC, Dobson AT, Feldman LT. Long-term promoter activity during herpes simplex virus latency. *J Virol* 1994; **68**: 7148-7158.
- 25 Medveczky P, Szomolanyi E, Desrosiers RC, Mulder C. Classification of herpesvirus saimiri into 3 groups based on extreme variations in a DNA region required for oncogenicity. *J Virol* 1984; **52**: 938-944.
- Perng G-C, Ghiasi H, Slanina SM, Nesburn AB, Wechsler, SL. The spontaneous reactivation function of the herpes simplex virus type 1 LAT gene resides completely within the first 1.5kb of the 8.3kb primary transcript. *J Virol* 1996; **70**: 976-984.
- 30

- Sambrook J, Fritsch EF, Maniatis T. Molecular cloning: a laboratory manual, 2nd ed. Cold Spring Harbour Laboratory Press, Cold Spring Harbour, N.Y.1989.
- 5 Stevens JG, Wagner EK, Devi, RG, Cook, ML, Feldman, LT. RNA complementary to a herpesvirus alpha gene mRNA is prominent in latently infected neurons. *Science* 1987; 235: 1056-1059.
- 10 Virgin, HW, Latreille, P, Wamsley, P, Hallsworth, K, Weck, KE, Dal Canto, AJ, and Speck, SH. Complete sequence and genomic analysis of murine gammaherpesvirus 68. *J. Virol.* 1997; 71: 5894-5904.
- 15 Whitehouse A, et al. The herpesvirus saimiri ORF50 gene encoding a major transcriptional activator homologous to the EBV R protein, is transcribed from two distinct promoters of different temporal phases. *J Virol* 1997a; 71: 2550-2554.
- Whitehouse A, et al.. Identification of a cis-acting element within the herpesvirus saimiri ORF6 promoter that is responsive to the HVS.R transactivator. *J Gen Virol* 1997b; 71: 1411-1415.
- 20 Whitehouse A, Cooper M, Hall KT, Meredith, DM. The open reading frame (ORF) 50a gene product regulates ORF57 gene expression in Herpesvirus saimiri. *J Virol* 1998a; 72: 1967-1973.
- 25 Whitehouse A. and Stevenson. Gene regulation in Herpesvirus saimiri and its implication towards the development of a novel gene therapy vector. *Gene Therapy and Molecular Biology*, 1998b; 3: 35-44.

30

p32042.2

Figure 1.

Latency-associated regulatory region
(Initiation codon of ORF 73 shown in bold)

Encompasses 107234-109233 bp of the published HVS sequence. Nucleotide sequence accession number: X64346 in EMBL and Genbank (Albrecht *et al.*, 1992).

```

5' ACCCAGAGAGCTGGACACTAGAACTAGAACCTAATGCATCAAAGCATTATGAATC
TTTATGGCTCAACTTTTCACGTTCTCTCAAACCTACTAAAAGCATTATATTACAAGCCCT
TCGTGGCACAATTTTCCAGGATGGCTTGTGGCAAGTACTTGGACTGAGATACAAACA
CGATGCTCAAGAAATATATTATGCAACAAAATGGAACAATTGCAATGAGTTATCATAG
TGCTAAGATAAATCCTTACTTGTATGCAATGCATTATCCAAGGAACCCCTCTGGCAA
TTCATCTGTAGCTGGCATATGTTCAAAGAATGGCAGGCATCTTGCCTTGCTTGTAGA
ACCAGCCCTTTCTTTTCATACTTGGCAATGGCAACATATACCTAAACCTCTAGTAAC
TTCTCCATGGGCATTAATGTATCAATGTATGTTCTTGTGGTGTGTAAAAGAATGATT
GTACTAAGGAACAGTAATAAAAACTCTGACACTAAGATACGATAATATACTATTTA
TTTATCAAGTGAGCCGCTCTACACTCTAACAGTGACAAATAGTTTACACCATGCGAG
CCATGCGCTGCCATAAGAGACTTCCAAACATAGCAAACATCAGAGGTAACATACAAT
AATATAGTACCAACAGCATATATGTACATTGAATCCATACACTATAGCAGATCTCT
TTGCACATGTCTCTTCTATTACACCAACACGCAACAAAGTATCAATGCTTTCCATAA
TATAGTATGGTATACAAAACACTATGAATAGCAGTGTTGTCTTGTAAATTATCGTGA
CTACCTCTGCTCTTTTAGACAGCTTTGTCTTGAATAACTTATAACATGACATACTAT
AGCATATTACAGTAATAAAGAGGGGTCTGCAAAGCTATACCATGTGTGAAAAGTGT
TTAGCTTTGTGCGTAGCTGCTCAGTCAACACACCATCCTCCTCTATGCAAGAAGATG
GTTATAATATGATGTCAACATCACATGAGGAAGTGCTCCAAAGCAGGCTAATACAA
ATGAACAGCAGCAAAATACCTTGCCCAATAAGAGTCTTTTTCCCCACAGTCTAGTAG
CACAAAATATTAGCAGACAACGCAAGACACTAATAAAAACTAATATGAAAGGAGACC
AATAAATGCTGAGATTTAAGAAAAAAGCTTCCAGCTTACACAGCTCAGTATTCTATAA
AAATTTCAAACATGCGCAAAAGTCTCATTAGCAGATACCCAGCTAAGAACAAGCTGT
TGAGACAAAATCCCATCATCAAGTAGTCAAACTTTGAGCTTGAGCTCTATACTTTA
GAAAAGTCTCAGTACAAGAGAATTCCCAATTGCATTGCATAAAAACATCAACACAT
ATATGAATGCTAGTGCACTCTCTGAAATTAAAAAGTTCACTACACACGGCGCTACAT
CTCCATAATATATGTCTCCACTATAATTGTAAGAATAGTTGCTAAAGTCTTCACTAC
TGAAGTCCAGCTTGACCTCCATAGCGAACTACAAAATAAATTTATATAAATTATTCA
CCCAATAACTTGAAATTTAAAGAATTAGGACAAAAGAATGTATATCCTACCTTTCTT
TGCAGCCTGACAGCAAGCTACTGAAAAAGTTACTTTTTATTTTGTTTTAGTAGCTAG
GTGTGGTTTTACATATGTTTTGTGGCTACACAGTAGATTTAACAAATAGCCACGCCC
CCTACGCTACGTCTAAGGAGGAGCTTAATTCAAACGAGTGGCGGGATTTGCTAAA
GTCAGTGAAGAACTTGCACTCTAATTCATCCGCGGCTGCAACCTTCAAACAAAAAG
GAGGTTTTGATTTTCGATGTGAGTAGCACTTTTACATTTTACAGTCATAATGTGAC
CAACTTGTAAAAAATGTTATGTTTTATGCCTATATTAGCCACCTAGTGGCTGCTCAT
TGCATAGCTTTTTCAGTTAACGTATAGCGCCATCTAGTGTATAACGTGTTTGTGCA
ATTATAGATG 3'

```


2/5

Figure 2

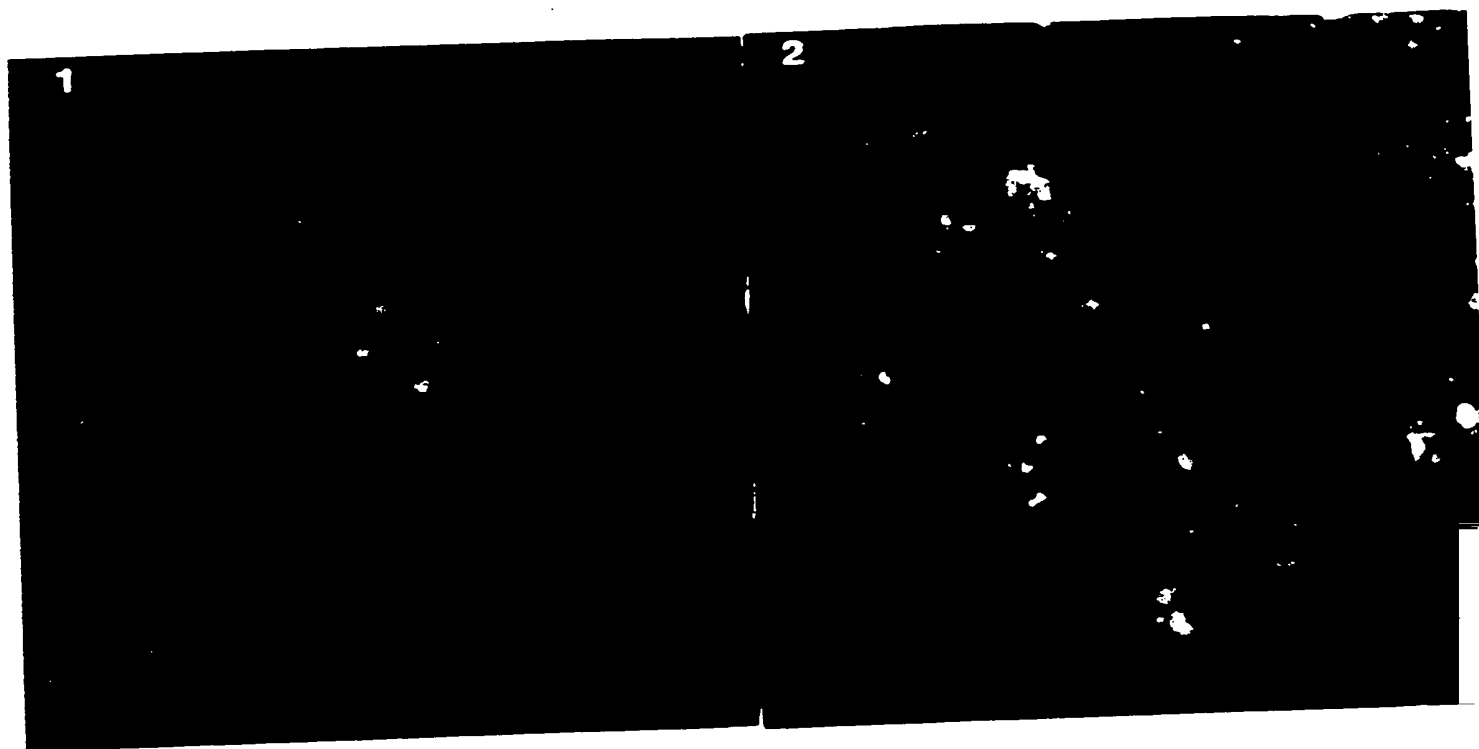


Figure 3.

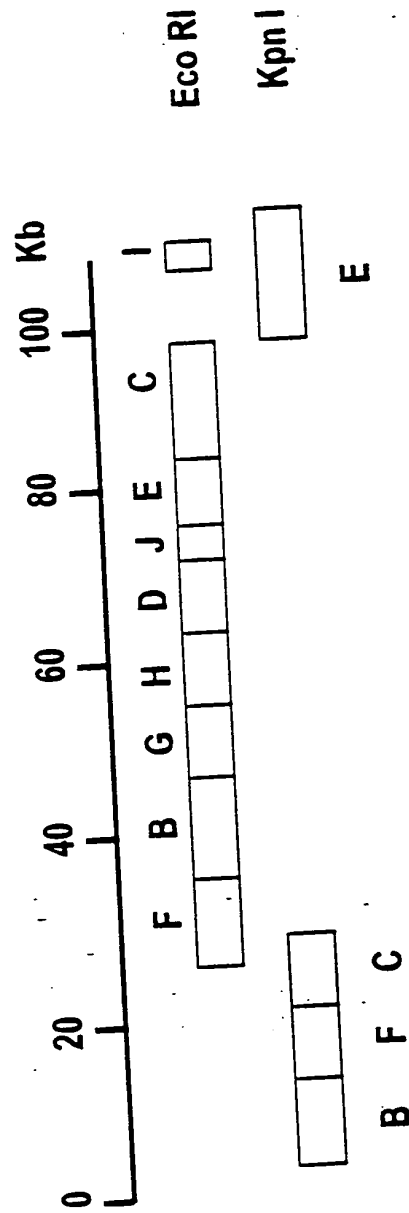
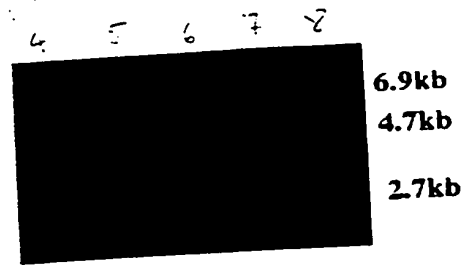
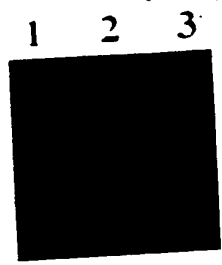
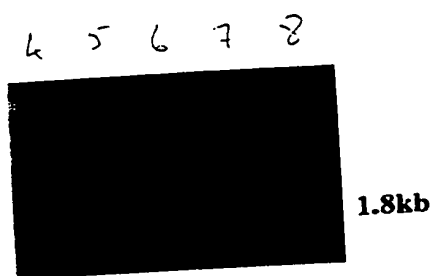
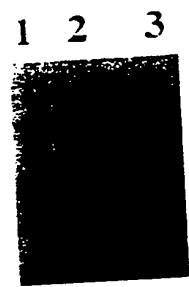


Fig 4

a) Eco D Fragment



b) Eco J Fragment



Actin Controls

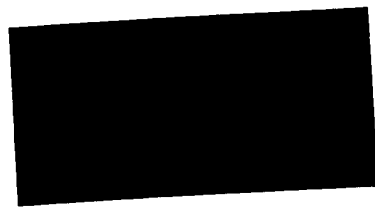
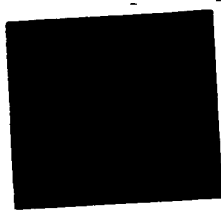
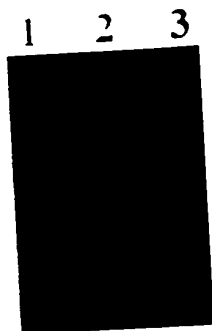


Figure 5.

a) Eco C Fragment



4 5 6 7 8

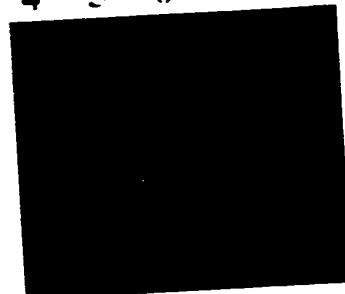


2.7kb

b) Kpn E Fragment



4 5 6 7 8



4.7kb

Actin Controls



Figure 6

a) ORF71

1 2 3



4 5 6 7 8



6.9kb

4.7kb

2.7kb

b) ORF72

1 2 3



4 5 6 7 8



6.9kb

4.7kb

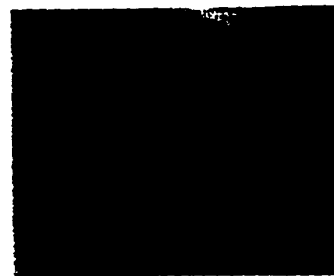
2.7kb

c) ORF73

1 2 3



4 5 6 7 8



6.9kb

4.7kb

Actin Controls



Figure 7.

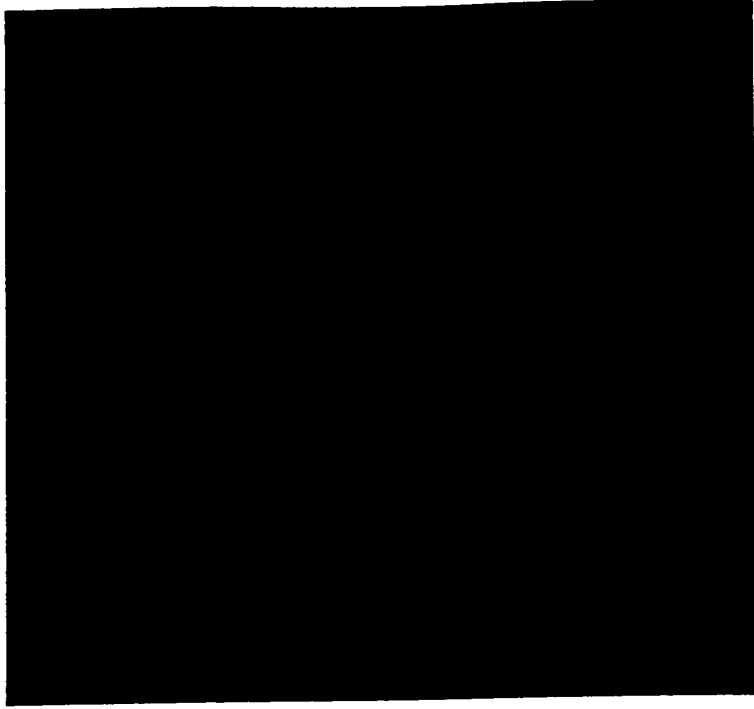


Table 1.

(ND = not determined; vw = very weak level of expression)

		Green-A549	Green Jurkat	Green MIAPACA	Green SW480
KpnB	vw	-	-	-	-
KpnC	vw	ND	ND	ND	ND
KpnE	+	ND	ND	ND	ND
KpnF	vw	-	-	-	-
EcoC	+	-	-	-	-
EcoD	vw	-	-	-	-
EcoE	vw	-	-	-	-
EcoF	vw	-	-	-	vw
EcoG	vw	-	-	-	-
EcoH	vw	-	-	-	-
EcoJ	vw	-	-	-	-

Per 121500 100000

25/2/00 LP

Harrison Goodland Foots